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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/583,711	05/31/2000	Barry Stanley Barnett	AUS000165US1	5268

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EXAMINER
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JACKSON, JENISE E

ART UNIT	PAPER NUMBER
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2131

DATE MAILED: 12/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/583,711

**Applicant(s)**

BARNETT, BARRY STANLEY

**Examiner**

Jenise E Jackson

**Art Unit**

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1-8 and 19-22 is/are allowed.
- 6) ☒ Claim(s) 9-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date ____. | 6) <input type="checkbox"/> Other: ____.  |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 9-18 are rejected under 35 U.S.C. 102(e) as being anticipated by Blumenau et al.(6,260,120).

3. As per claim 9, Blumenau et al. discloses a switch port including controller, receiver, transmitter, non-volatile store, and memory, wherein the switch non-volatile storage includes a first copy of a password table and a first copy of an encryption key(see col. 12, lines 27-54, col. 39, lines 18-54), a node including processor, non-volatile storage, memory, and a host bus adapter, wherein the node memory contains at least a portion of a node software interface and the switch memory contains at least a portion of a switch software interface(see col. 12, lines 27-54), wherein the software interfaces contain instructions for retrieving a password from the first copy of the password table in response to a login request(see col. 39, lines 18-54), using the password to determine a first response, sending the password to the node, using the password to determine a second response from the second copy of the password table, sending the second response back to the node, comparing the first and second responses; and denying the login request if the first and second response differ(see col. 39, lines 18-54, 55-67, col. 40, lines 17-43).

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4. As per claim 10, Blumenau et al. discloses including a key server application including of a set of instructions for generating the encryption key and the key password table for the node and switch(see col. 39, lines 18-54).

13. As per claim 11, Blumenau et al. discloses wherein the key server application generates an encryption key and password table for each node-switch pair of the network(see col. 39, lines 18-54, col. 40, lines 7-15).

14. As per claim 12, Blumenau et al. discloses wherein the encryption key and password table are stored on a portable storage device and manually distributed to the node(see col. 39, lines 18-54).

15. As per claim 13, Blumenau et al. discloses wherein the encryption key and password table are distributed to the node(see col. 39, lines 18-54).

16. As per claim 14, Blumenau et al. discloses wherein the key server application is executed periodically to generate new keys and passwords tables(see col. 39, lines 18-54).

17. As per claim 15, Blumenau et al. discloses wherein the first and second copies of the password table are encrypted according to encryption key and wherein the software interfaces include instructions for encrypting and decrypting the responses and the passwords according to the encryption key(see col. 39, lines 18-54).

18. As per claim 16, Blumenau et al. discloses wherein the node software interface further contains instructions for reading a serial identification corresponding to a host(col. 12, lines 31-37), generating a code value based upon the serial number, comparing the generated code value with a previously determined code value; and denying access to the SAN if the generated code

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value and the previously determined code value differ(see col. 40, lines 16-44, col. 41, lines 22-67, col. 42, lines 1-20).

19. As per claim 17, Blumenau et al. discloses instructions for generating a code value are executed in response to an event selected from a power on event and a software reset(see col. 12, lines 31-33).

20. As per claim 18, Blumenau et al. discloses wherein the switch includes a Fibre Channel compliant switch(see col. 12, lines 27-37).

21. Claims 1-8 and 19-22 are allowable(see below for details).

### **Response to Amendment**

22. Claims 1-8, and 19-22, arguments by Applicant are persuasive and overcome art of record and prior art, the reasons why the claims are allowable: are listed below:

are allowable for the features of, “using the decrypted first value to retrieve a third value from a second copy of the password table”; “encrypting the third value according to a second copy of the encryption key and sending the encrypted third value back to a switch of the SAN”; “decrypting the third value according to the first copy of the encryption key and comparing the decrypted third value with the second value”; and allowing access to the SAN if the third value and the second value match”.

23. In the art of networking, prior art fails to disclose, “using the decrypted first value to retrieve a third value from a second copy of the password table”; “encrypting the third value according to a second copy of the encryption key and sending the encrypted third value back to a switch of the SAN”; “decrypting the third value according to the first copy of the encryption key

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and comparing the decrypted third value with the second value”; and allowing access to the SAN if the third value and the second value match”, an Example of prior art in networking that does not disclose this is, Blumenau et al. Blumenau et al. discloses a storage access network/Fibre network(SAN) tat discloses an authentication method, which stores the unique secret key in the host and port. Copies of the keys are stored in a list in the host and port. The port includes random numbers(i.e. values). The random numbers and the keys are used by host and port to authenticate. The host controller receives the random number and request verification from the port adapter. The port adapter encrypts the random number with its copy of the host controller’s key. The port adapter compares the value from the host controller is they match access to the storage system is granted. The random number is the first value of Blumenau, and the encryption key is the password table. However, Blumenau et al. fails to discloses or suggest using the decrypted first value to retrieve a third value from a second copy of the password table”; “encrypting the third value according to a second copy of the encryption key and sending the encrypted third value back to a switch of the SAN”; “decrypting the third value according to the first copy of the encryption key and comparing the decrypted third value with the second value”; and allowing access to the SAN if the third value and the second value match.

24. As per claim 9-18 are still rejected under Blumenau et al.(see above). The Applicant states that the Blumenau does not disclose a password retrieved from the password table is then used to retrieve the response from the password table. The Examiner disagrees with the Applicant. Copies of the keys(i.e. password) are stored in a list in the host controller(see col. 39, lines 27-28). The host controller receives the random number and request for verification from

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the port adapter(see col. 40, lines 16-18). The port adapter encrypts the random number with its copy of the host controller's key(see col. 40, lines 16-43).

### **Final Action**

**25. THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jenise E Jackson whose telephone number is (571) 272-3791. The examiner can normally be reached on M-Th (6:00 a.m. - 3:30 p.m.) alternate Friday's.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



December 9, 2004

  
EMMANUEL L. MOISE  
PRIMARY EXAMINER